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U.S. Department of Transportation Federal Highway Administration



Pavement Management Fundamentals

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MICHIGAN STAT

UNIVERSIT

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NATIONAL PAVEMENT PRESERVATION CONFERENCE



Importance of Pavements

- Pavement's deterioration and rising costs
- Budgets have not kept pace
- Good roads cost less. Bad roads cost more
 - ✓ If maintained at a reasonable level of service
 - ✓ If responsive to preventive maintenance



Time (Years)



Historical Perspective

- Early PMS (WA, AZ, UT, SD, US Army Corps of Engineers)
- 1985 AASHTO Guidelines
 - \checkmark Introduced and defined PMS
 - \checkmark Supported development and implementation of PMS
- 1989 FHWA PMS Policy
 - Required all states to have PMS to manage their Federal Aid Highway (Interstate, Principal)
 - ✓ Condition of funding
- 1990 AASHTO Guidelines for PMS
- ISTEA of 1991 (Rescinded in 1995)
- SAFTY-LU 2005
 - Requires PMS



1990 AASHTO Guidelines for PMS

A Pavement Management System (PMS) is designed to provide objective information and useful data for analysis so that road managers can make more consistent, cost-effective, and defensible decisions related to the preservation of a pavement network."

While PMS cannot make final decisions, it can provide the basis for an informed understanding of the possible consequences of alternative decisions.

• A PMS does NOT make decisions, Managers DO!



What is Pavement Management?

"Pavement Management is a set of tools or methods that assist decisionmakers in finding optimum strategies for providing, evaluating, and maintaining pavements in a serviceable condition over a period of time (AASHTO, 1993)





PMS Levels

<u>Strategic</u>

Policy makers making decisions that influence long-term strategic efforts.

<u>Network</u>

- Current and future network conditions are used to make tactical decisions over a typical 5-year timeframe.
 - Overall agency pavement condition summary
 - ✓ Budget estimate
 - \checkmark Performance prediction

<u>Project</u>

- Decisions are focused over a short timeframe.
 - ✓ Preventive maintenance
 - \checkmark Resurfacing or reconstruction
 - \checkmark Treatments options along the project



What are the **Benefits** and Costs Associated with Pavement **Management?**

- Providing a centralized location for pavement inventory (location, pavement type, area, mileage, and functional classification); condition information; construction, maintenance, and rehabilitation records.
- Providing a method to analyze the consequences of various funding levels on pavement conditions.
- Improving scheduling of pavement works to reduce excessive rehabilitation costs.
- Assisting as a decision-making tool in optimizing rehabilitation, maintenance, and trade-off options.



What are the **Benefits** and Costs **Associated with** Pavement **Management?** (cont.)

- Providing the information needed to analyze the cost-effectiveness of different treatment repairs.
- Allowing an agency to answer "what-if" type questions regarding pavement repair programs and funding levels.
- Justifying budget needs to elected officials and other stakeholders



Costs associated with Pavement Management Include:

- Software acquisition and installation.
- Personnel training.
- Data collection.
- Database building.
- System maintenance and updates



Why Invest in Pavement Management Include?

- A rational and objective method to identify resource allocation.
- Optimal use of available funds.
- Reduction in pavement rehabilitation costs over time.
- Identification of accurate treatment for the pavement segments through roadway assessment.
- Estimates of pavement life extensions correlated to treatment timing of treatment applications.
- Increased credibility with stakeholders.





Step 1: Define Network & Collect Data

- Pavement surface type (e.g., hot-mix asphalt or portland cement concrete).
- Pavement structure (e.g., pavement materials or thickness).
- Construction history (e.g., different construction periods, different contractors, or different materials and techniques).
- Roadway geometry (e.g., number of traffic lanes).
- Traffic (e.g., volume or patterns).
- Pavement condition (e.g., significant variation in condition that is not simply an isolated area).
- Geographic boundaries (e.g., intersections, bridges, waterways, jurisdiction limits, railroad crossings).





Collect Data

- Roadway Name A written description of the roadway name and any corresponding numeric references.
- Pavement Location Physical reference to the location, including "beginning location" and "ending location" designations.
- Pavement Dimensions Values including length, width, and/or area.
- Pavement Type The material that comprises, at a minimum, the pavement surface.
- Construction History Details of the latest maintenance and rehabilitation treatments and construction date, and, if possible, original construction dates and additional maintenance and rehabilitation records.



Ways to Store Inventory Information

- Paper records
- Electronic spreadsheets
- Databases (e.g., either stand-alone database or a database as part of pavement management software)
- Maps (e.g., GIS-based maps)



Step 2: Collect Condition Data

- Data quantity Data quantity refers to what and how much information is collected. Both have time and cost implications since the greater the volume of data collected or the more detailed the collected data, the higher the cost of data collection.
- Data quality Although the associated cost of the data increases, more detailed data for analysis can result in better analysis decisions. For most agencies, the goals for network– level surveys are to develop appropriate budgetary needs and to evaluate the performance of previously implemented strategies.



What techniques are used to assess pavement condition?

- Visual distress surveys
- Roughness surveys
- Friction surveys
- Drainage evaluation
- Shoulder surveys
- Deflection testing



Pavement Condition Inspection (various methods)

Description

Type of Distress (Cracking, Patching, Rutting) Severity (Crack Width, Condition Assessment) Extent (Percentage of the Pavement Length)

Data Collection

Continuous – Windshield survey (Computer Rater keyboard, and Video) Some surveys determine load-bearing characteristics



Source: FHWA



Pavement Condition Evaluation

Road Roughness

"Road roughness is the irregularities in the pavement surface affecting user comfort and safety"

Due to variations in horizontal, vertical, and transverse profiles

Ride Quality - User perception of pavement roughness



Pavement Condition Survey Equipment

Profiler Roughness, distress, rutting, noise, pavement cracking



Skid Trailer Pavement friction



GPR Layer Thickness





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FWD Structural Capacity

Surveys Based on Measured Distresses

- Pavement Surface
 Evaluation and Rating
 (PASER) Scale 1–10
- Condition Rating Survey (CRS) – Scale 1.0–9.0 tenth point increments
- Pavement Condition Index (PCI) – Scale 0–100



DO C23

Step 3: Predict Condition

Pavement conditions can be predicted by using either average deterioration rates or prediction models using statistical modeling.

- Identifying the appropriate timing for pavement maintenance and rehabilitation for each segment.
- Identifying the most cost-effective treatment strategy for pavement segments in the network.
- Estimating pavement needs and associated budgets required to address agency-specified goals, objectives, and constraints.
- Demonstrating the consequences of different pavement investment strategies.



Step 4: Select Treatments

Treatments are selected using cyclical placements or trigger rules. The recommended treatments are then prioritized using ranking or benefit/cost analysis.

- a) Cyclical Placements (e.g., chip seal section of pavements on a 7-year cycle
- b) Trigger Rules Developed into a matrix or a decision tree
- c) Ranking Project priorities
- d) Benefit/Cost Analysis Preferred approach



Effect of Treatment Timing On Costs



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tional Center for Pavement Preservation

Source: Sui Tan with Metropolitan Transportation Commission

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•			Edit	PM Category Name	Treatment Name	Cost/Sq Yd, except Seal Cracks in LF	Years Between Crack Seals	Years Between Surface Seals	# of Surface Seals before Overlay							
•	Arterial															
	•	AC														
		•	Condition Cate	egory I - Very Good												
			1	Crack Treatment	SEAL CRACKS	\$1.75	3									
			ľ	Surface Treatment	CAPE SEAL	\$5.00		7								
			1	Restoration Treatment	MILL AND THIN OVERLAY	\$12.00			2							
		•	Condition Cate	egory II - Good, Non-Load Related												
					CAPE SEAL	\$6.00		7								
		•	Condition Category III - Good, Load Related													
					MILL AND THIN OVERLAY	\$13.50										
		•	Condition Category IV - Poor													
					THICK AC OVERLAY(2.5 INCHES)	\$40.00										
		•	Condition Category V - Very Poor													
					RECONSTRUCT SURFACE (AC)	\$85.00										
	•	AC/AC														
	•	AC/PCC														
	•	PCC							2							
	•	ST				Source: Sui Tan with Metropolitan										

Complete Treatment Matrix

Representative RWD Deflection, mils



High Traffic Low Traffic Structural Rating

Source: Champaign County Treatment Matrix (ARA FHWA 2009)

Step 3: Predict Condition

Analysis results can be shared with users of the information using standard or customized reports.





Visual Aid	When to Use	Examples
Tables	 Incorporate into a report or document for detailed oriented user (engineers, planners, etc.) Display extensive amount of detailed information Support detailed analysis and provide technical Information 	 Inventory listing (e.g., segment location and name, surface type, age, traffic) Condition listing (e.g., segment name, condition indices) Maintenance listing (e.g., segment name, year of maintenance activity, maintenance type and cost) Budget listing (e.g., money proposed for repairs for each segment or for various functional classifications)
Charts	 Present information to nontechnical audiences, such as elected officials and the public Emphasize points to be made (easy method to convey simple summaries) 	 Pie chart (shows size of each part as a percentage of the whole) Column chart (show how items change with time or compare to one another) Line chart (shows how items change over time and can compare "what if" budget scenarios)
Maps	 Display single type of information on a geographical basis Present information to nontechnical audiences, such as elected officials and the public 	 Segment surface type Color-coded current condition Color-coded projects by year Future condition for a funding scenario Deferred projects

Executive Dashboard

Show KPI



Database Reports

- Pavement Condition Deficiency Reports
- Pavement Condition Performance Histories
- MR&R Actions
- Pavement Inventory and Ranking





Historical Section PCI/RSL Listing

Historical Date: 12/31/2021

Printed: 2/15/2022

	CITY OF ANYWHERE - Demo 1232 Washington Street Anywhere, CA 55555 (555) 555-5555
f	
where	

City

Any

Street ID	Section ID	Dood Name	From		Length	Midth	Area Eurotional Class	Surface Tune	Historical R	emaining
MONEY	20	MONEYLANE	250 N OF LAKE	CRZ NLOE LAKE	Lengur 427	24	Area Functional Class	Surface Type	70	25.62
MONEY	20	MONEYLANE	250 N OF LAKE	DOAD NARROWS	437	21	9,177 R - Residential/Local	A-AC	79	25.05
MONEY	30A	MONEYLANE	667 N OF LAKE	RUAD NARROWS	337	35	11,795 R - Residential/Local	A-AC	12	21.04
MONEY	308	MONEY LANE	ROAD NARROWS	MICHAEL WAY	367	1/	6,239 R - Residential/Local	A - AC	0	0.00
MONEY	40	MONEY LANE	MICHAEL WAY	165' N/O MICHAEL WAY	165	20	3,300 R - Residential/Local	A - AC	78	27.66
MORAAV	10	MORA AVE	GRANT ST.	1030' NORTH OF GRANT ST.	1,030	25	25,750 R - Residential/Local	A - AC	0	0.00
MORAAV	20	MORA AVE	1030' NORTH OF GRANT ST.	2090' NORTH OF GRANT ST.	1,060	25	26,500 R - Residential/Local	A - AC	1	0.00
MORAAV	30	MORA AVE	2090' NORTH OF GRANT ST.	LINCOLN AVE	1,120	25	28,000 R - Residential/Local	A - AC	7	0.00
MYRTLE	10	MYRTLE STREET	PINE ST.	LINCOLN AVE	510	30	15,300 R - Residential/Local	P - PCC	38	7.74
MYRTLE	15	MYRTLE STREET	LINCOLN AVE	SPRING ST.	581	30	17,430 R - Residential/Local	P - PCC	56	22.14
MYRTLE	20	MYRTLE STREET	SPRING ST.	SILVER ST.	840	30	25,200 R - Residential/Local	P - PCC	51	17.98
MYRTLE	30	MYRTLE STREET	SILVER ST.	GOLD STREET	380	29	11,020 R - Residential/Local	A - AC	65	15.79
MYRTLE	35	MYRTLE STREET	GOLD STREET	SOUTH OAK	430	33	14,190 R - Residential/Local	A - AC	60	13.67
MYRTLE	40	MYRTLE STREET	S OAK ST.	LILLIE ST.	367	32	11,744 R - Residential/Local	A - AC	41	5.58
MYRTLE	56	MYRTLE STREET	LILLIE STREET	WILLOW STREET	411	32	13,152 R - Residential/Local	A - AC	48	8.52
MYRTLE	60	MYRTLE STREET	WILLOW ST.	DEAD END	454	33	14,982 R - Residential/Local	A - AC	53	11.16
MYRTDL	10	MYRTLEDALE ROAD	GREENWOOD AVE	CITY LIMITS/ NAPA RIVER	1,413	23	32,499 C - Collector	A - AC	0	0.00
NOAKST	10	NORTH OAK STREET	WASHINGTON ST.	FAIR WAY	695	36	25,020 C - Collector	A - AC	41	3.22
NOAKST	20	NORTH OAK STREET	FAIR WAY	GRANT ST.	900	34	30,600 C - Collector	A - AC	56	7.03
NOAKST	30	NORTH OAK STREET	GRANT ST.	AURORA DR.	1,230	26	31,980 C - Collector	A - AC	70	11.66
OATHIL	10	OAT HILL COURT	TABLE ROCK CT.	DEAD END	544	32	17,408 R - Residential/Local	A - AC	65	17.92
PARKST	10	PARK STREET	FAIR WAY	DEAD END	400	24	9,600 R - Residential/Local	A - AC	39	5.12
PETFOR	11	PETRIFIED FOREST ROAD	FOOTHILL BLVD	CITY LIMITS	1,535	26	39,910 A - Arterial	A - AC	70	15.83
PINEST	10	PINE STREET	FOOTHILL BLVD	CEDAR ST.	608	27	16,416 R - Residential/Local	P - PCC	35	6.19
PINEST	20	PINE STREET	CEDAR ST.	DEAD END	350	26	9,100 R - Residential/Local	A - AC	76	23.85
REDWD	10	REDWOOD AVENUE (EB)	GRANT ST.	DEAD END	259	12	3,108 R - Residential/Local	A - AC	33	2.89

Criteria: Historical Date = 12/31/2021

Source: Sui Tan with Metropolitan MTC StreetSaver Transportation Commission

M & R History - PCI Before and After Treatment Printed: 2/15/2022

Street ID	Section ID	Road Name	Begin Locat	ion		End Locatio	Area	
EMERLD	10	EMERALD DRIVE	MONEY LN.			DEAD END		14,880
		Maintenance Date Treatment		PCI Before Treatment	PCI After Treatment	Cost of Maintenance	Remaining Life	
		06/01/2003 CAPE SEAL		52	65	S0	17.05	
		11/10/2015 CAPE SEAL W/20% DIG OUT:	S	58	69	S0	19.78	
Street ID	Section ID	Road Name	Begin Locat	ion		End Locatio	n	Area
FAIRVI	10	FAIRWAY VISTA COURT	CENTENNIA	L CIR		DEAD END	9,603	
		Maintenance Date Treatment	<u>_</u>	PCI Before Treatment	PCI After Treatment	Cost of Maintenance	Remaining Life	
84	0	TI/10/2015 CAPE SEAL W/20% DIG OUT	5	04	/4	50	22.19	
Street ID	Section ID	Road Name	Begin Locat	ion		End Locatio	n	Area
	30		LAKE ST.	DOI Defers	DOI 100-	N. OAK ST.	Description	20,000
		Maintenance Date Treatment		Treatment	Treatment	Maintenance	Life	
		03/17/2008 RECONSTRUCT STRUCTUR	E (AC)	14	100	\$140,000	30.05	
Street ID	Section ID	Road Name	Begin Locat	ion		End Locatio	n	Area
FALERI	10	FALLERI STREET	LAKE ST.			URBANI PL.		8,755
				PCI Before	PCI After	Cost of	Remaining	
		Maintenance Date Treatment		Treatment	Treatment	Maintenance	Life	
		06/01/2003 SLURRY SEAL		83	90	S0	27.12	
		11/10/2015 CAPE SEAL W/20% DIG OUT:	S	58	69	\$0		
Street ID	Section ID	Road Name	Begin Locat	ion		End Locatio	n	Area
FOURTH	10	FOURTH STREET	WASHINGTO	ON ST.		FAIR WAY	17,280	
		Maintenana Data Taratarant		PCI Before	PCI After	Cost of	Remaining	
		Maintenance Date Treatment		Treatment	100	sore 571	28.51	
Street ID	Section ID	Poad Name	Pogin Locat	ion	100	End Locatio	50.51	Aroa
GOLD	20	GOLD STREET	CEDAR ST			DEAD END N	IORTH OF CEDA	A160 AR 4.368
GOLD	20	COLD STREET	OLDAR OT.	DCI Refere	DCI After	Cast of	Demoining	4,000
		Maintenance Date Treatment		Treatment	Treatment	Maintenance	Life	
		04/27/2017 RECONSTRUCT STRUCTUR	E (AC)	41	100	\$111,253	34.14	
Street ID	Section ID	Road Name	Begin Locat	ion		End Locatio	n	Area
GRANT	20	GRANT STREET	HIGH SCHO	OL PROP (CO	P)	LAKE ST.		28,480
		Maintenance Date Treatment		PCI Before Treatment	PCI After Treatment	Cost of Maintenance	Remaining Life	
		05/16/2006 RECONSTRUCT STRUCTUR	13	100	\$235,000	24.26		

CITY OF ANYWHERE - Demo 1232 Washington Street Anywhere, CA 55555 (555) 555-5555

City

of

Anywhere

Scenarios - Sections Selected for Treatment Inflation: 3.00%

Interest: 3.00%

Printed: 2/15/2022

Scenario: Current Budget \$250K

	Year	Bu	dget	PM	Year		Budge	et	PM		Year		Budg	jet	PM		
	2022	\$250	0,000	10%	2024		\$250,00	0	10%		2026		\$250,0	00	10%		
	2023	\$250	0,000	10%	2025		\$250,00	0	10%								
Year: 2022																	
													Treatm	ent			
Dood Name	Pagin Logation	End Location	Street ID	Contine ID	Longth	Width	A.c	FC	Surface	Area ID		Current	PCI	PCI	Cont	Dating	Tractment
Road Name	Degin Location	End Location	Succup	Section ID	Length	width	Area	FU	Type	Area ID		PUL	Before	After	Cost	Raung	meannent
GRANT STREET	WAPOO AVE.	HIGH SCHOOL PROP (COP)	GRANT	10	398	34	13,532	С	AC			64	64	74	\$9,022	15,642	CAPE SEAL
HIGHLAND COURT	FOOTHILL BLVD	END	HIGHLD	10	531	32	16,992	R	AC			63	64	74	\$11,328	18,542	CAPE SEAL
MYRTLE STREET	SILVER ST.	GOLD STREET	MYRTLE	30	380	29	11,020	R	AC			64	65	74	\$7,347	15,897	CAPE SEAL
MYRTLE STREET	GOLD STREET	SOUTH OAK	MYRTLE	35	430	33	14,190	R	AC			60	60	71	\$9,460	15,347	CAPE SEAL
STEVENSON STREET	LINCOLN AVE	GRANT ST.	STEVEN	10	675	38	25,650	A	AC			60	60	71	\$17,100	23,636	CAPE SEAL
WAPOO AVENUE	GRANT ST.	LINCOLN AVE (NORTH)	WAPOO	20	392	26	10,388	R	AC			69	69	78	\$6,926	17,583	CAPE SEAL
WASHINGTON STREET	FIRST ST.	THIRD ST.	WASHNG	35	630	35	22,050	С	AC/PCC			70	70	79	\$14,700	22,817	CAPE SEAL
												Treatme	ent Total		\$75,883		
MYRTLE STREET	S OAK ST.	LILLIE ST.	MYRTLE	40	367	32	11,744	R	AC			40	41	100	\$39,147	15,272	2.5" OVERLAY WITH FABRIC
VIEW ROAD	ARCH WAY	LAKE ST.	VIEW	10	1,300	25	32,500	R	AC			43	43	100	\$108,334	15,084	2.5" OVERLAY WITH FABRIC
												Treatme	ent Total		\$147,481		
				Yea	ar 2022 /	Area T	otal	158,066 Year 2022 Total					2 Total		\$223,364		
Year: 2023																	
									Surface			Current	Treatm PCI	ent PCI			
Road Name	Begin Location	End Location	Street ID	Section ID	Length	Width	Area	FC	Туре	Area ID		PCI	Before	After	Cost	Rating	Treatment
AMBER WAY	MAGGIE ST.	DEAD END	AMBER	10	106	36	3,816	R	AC			64	62	73	\$2,621	16,257	CAPE SEAL
CENTENNIAL CIRCLE	GRANT ST.	FAIRWAY VISTA CT.	CENTCR	10	1,187	33	39,171	R	AC			65	63	73	\$26,898	16,378	CAPE SEAL
DEBBIE WAY	DENISE DR.	DEAD END	DEBBIE	10	560	38	21,280	R	AC			70	68	78	\$14,613	16,998	CAPE SEAL
FILMORE STREET	FISCHER ST	FAIRWAY	FILLMR	15	435	28	11,310	R	AC			71	70	79	\$7,767	17,130	CAPE SEAL
GRANT STREET	LAKE ST.	N. OAK ST.	GRANT	30	710	23	16,330	С	AC			71	69	78	\$11,214	18,585	CAPE SEAL
MITZI DRIVE	DENA WY	DEAD END	MITZI	20	860	38	32,680	R	AC			68	66	76	\$22,441	16,768	CAPE SEAL
MONEY LANE	LAKE ST.	250 N OF LAKE	MONEY	10	250	25	6,250	R	AC			68	66	76	\$4,292	16,767	CAPE SEAL

** - Treatment from Project Selection

MTC StreetSaver

Source: Sui Tan with Metropolitan Transportation Commission



Step 6: Select Pavement Management Tool

Public Domain Software

- MicroPAVER by the U.S. Army Corps of Engineers.
- RoadSoft GIS by Center for Technology and Training at Michigan Technological University.
- StreetSaver by the Metropolitan Transportation Commission in the San Francisco Bay Area, California.
- Utah Local Assistance Program Transportation Asset Management System (Utah LTAP-TAMS).





Step 6: Select Pavement Management Tool (contd)

Proprietary Software

- PAVEMENTview by Cartegraph.
- PavePro Manager by Infrastructure Management Services (IMS).
- PubWorks by Tracker Software Corporation.
- RoadCare by Applied Research Associates, Inc.





Step 7: Keep the Process Current

The required updates needed to keep the overall pavement management process current:

- 1. Define Network and Collect Data Inventory to pavement segments are keep constant components of the database.
- Collect Condition Data Recommend that condition information be collected and updated in the pavement management spreadsheet or software on a minimum 3year cycle on pavement segments.
- 3. Predict Condition If prediction models are utilized, consider updating them every 3 years when initially developed and then on a 5-year cycle after they are established.
- 4. Select Treatments Rules and priorities should be updated to ensure process continues to improve in the future.
- 5. Report Results Will be used by an agency with each new pavement management plan, which ideally should be conducted each year or on a maximum 3-year cycle to correspond with the 3-year data collection cycle.

Distress Identification Manual

https://www.fhwa.dot.gov/publications/research/infrastructure/ pavements/ltpp/reports/03031/03031.pdf







Why Pavement Management?

- Assess: current/future pavement conditions
- Justify: funding needs to achieve target condition
- Identify: potential treatments while optimizing funding
- Estimate consequences of investments and treatments





Source: FHWA



Short and Long-term



Web-based Training



Pavement Management Fundamentals WBT FHWA-NHI-131116







Helpful Links

- FHWA Pavement Management & Performance
 <u>https://www.fhwa.dot.gov/pavement/mana.cfm</u>
- American Association of State Highway and Transportation Officials, Pavement Management Guide, 2012.
- American Association of State Highway and Transportation Officials, Strategic Highway Research Program 2 Guidelines for Preservation of High-Traffic-Volume Roadways, 2016.
- 23 CFR 517.17 TAMP requirements for Pavement & Bridge Management











For more information:

U.S. Department of Transportation Federal Highway Administration



The Office of Technical Services

- FHWA Resource Center
- National Highway Institute
- Technology Partnership Programs

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